

1059 U.S. PTO
03/06/02

03-07-02

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Approved for use through 10/31/2002 OMB 0651-0032
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10/092077
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03/06/02

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. Mo6804 LeA 34,849
First Inventor Christian Wamprecht et al
Title New Polyurethanes and Their Use For ...
Express Mail Label No. ET671453615US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- 1 ☒ Fee Transmittal Form (e.g., PTO/SB/17)
Applicant claims small entity status.
- 2 ☐ See 37 CFR 1.27
- 3 ☒ Specification [Total Pages 21]
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- 4 ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
- 5 ☐ Oath or Declaration [Total Pages]
a ☒ Newly executed (original or copy)
b ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 6 ☐ Application Data Sheet See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 7 ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8 ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- 9 ☐ Computer Readable Form (CRF)
- 10 ☐ Specification Sequence Listing on
a ☐ CD-ROM or CD-R (2 copies), or
b ☐ paper
- 11 ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 12 ☐ Assignment Papers (cover sheet & document(s))
- 13 ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
- 14 ☐ English Translation Document (if applicable)
- 15 ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- 16 ☐ Preliminary Amendment
- 17 ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 18 ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 19 ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 20 ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information

Example

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Just enter Number or Bar Code Label ☐ Correspondence address below

Name	00157			
PATENT TRADEMARK OFFICE				
Address				
City	State	Zip Code		
Country	Telephone	Fax		

Name (Print Type)	Thomas W. Roy	Registration No. (Attorney/Agent)	29,582
Signature	Thomas W. Roy	Date	March 6, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)
740.00**Complete if Known**

Application Number	To be Assigned
Filing Date	Herewith
First Named Inventor	Christian Wamprecht et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	Mo6804/LeA 34,849

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit AccountDeposit Account Number
Deposit Account Name

13-3848

Bayer Corporation

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)
740.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	12 -20** =		
Multiple Dependent	2 -3** =		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	*Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**For number previously paid, if greater. For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 180	205 65	Surcharge - late filing fee or oath	
127 90	227 25	Surcharge - late provisional filing fee or cover sheet	
139 180	239 130	Non-English specification	
147 2,520	247 2,520	For filing a request for ex parte reexamination	
112 920*	212 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	213 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 900	217 400	Extension for reply within third month	
118 1,400	218 720	Extension for reply within fourth month	
128 1,900	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 290	221 140	Request for oral hearing	
134 1,510	234 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,290	241 640	Petition to revive - unintentional	
142 1,290	242 640	Utility issue fee (or reissue)	
143 400	243 200	Design issue fee	
144 620	244 310	Plant issue fee	
122 180	222 90	Petitions to the Commissioner	
123 50	223 25	Processing fee under 37 CFR 1.17(q)	
126 180	226 90	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
163 900	169 900	Request for expedited examination of a design application	

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print Type) Thomas W. Roy

Registration No. 29,582

Complete if applicable:

Telephone 412-777-8345

Signature

Date March 6, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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